10-30-00

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE guired to respond to a collection of information unless it displays a valid OMB control number

Please type a plus sign (+) inside this box [+]

10/27/	jc915 U.S
8	PTO

LITTLE TV DATENT ADDI ICATION TDANGMITTAL						
UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)						
Attorney Docket No 004906.P003 Pages _ 5						
First Named Inventor or Application Identifier Suhail Nanji						
Express Mail Label No. <u>EL672753151US</u>						
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231						
APPLICATION ELEMENTS						
See MPEP chapter 600 concerning utility patent application contents.						
1. X Fee Transmittal Form (Submit an original, and a duplicate for fee processing)						
2. X Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims						
3. X Drawings(s) (35 USC 113) (Total Sheets 6						
4. X Oath or Declaration (Total Pages 5 signed)						
a. Newly Executed (Original or Copy)						
b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed) (Note Box 5 below)						
i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).						
5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6 Microfiche Computer Program (Appendix)						
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies						
ACCOMPANYING APPLICATION PARTS						
8. X Assignment Papers (cover sheet & documents(s)						

12/01/97

PTO/SB/05 (12/97)

9		a. 37 CFR 3.73(b) Statement (where there is an assignee)
_	Χ_	b. Power of Attorney
10.		English Translation Document (if applicable)
11.		a. Information Disclosure Statement (IDS)/PTO-1449
	<u> </u>	b. Copies of IDS Citations
12.		Preliminary Amendment
13.	Χ	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
1 4.		a. Small Entity Statement(s)
		b. Statement filed in prior application, Status still proper and desired
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	_X_	Other: Copy of postcard with Express Mail Certificate of Mailing
17.	If a CON	NTINUING APPLICATION, check appropriate box and supply the requisite information:
		ontinuation Divisional Continuation-in-part (CIP)
		of prior application No:
18.		spondence Address
	_ Custo	mer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here)
	•	OF
X_	_ Corre	spondence Address Below
NAM	E D	aniel M. DeVos, Reg. #37,813
IANAIAI		_AKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
ADD	RESS	12400 Wilshire Boulevard
		12400 Wilshire Boulevard
		Seventh Floor
CITY	′ <u>Los A</u> n	

H.H	### ###
Į.	
H.H	Ñ
, li	
į	
##	- 2
1,461	1
Herely.	
Ē	
adle.	4
11111	3
Time.	ij
۳;	75
##	A. 8
Harrie	***

				FEE TR	ANSMITTAL F	OR FY 20	001		
			-	TOTAL AMO	OUNT OF PAYMEN	IT (\$)	\$19	92.00	- !
Compl	lete if Kno ation No.	wn:							<u> </u>
Filina	Date Oc	tober 27.	2000						
First N	lamed Inv	entor S	Suhail Na	anji					
Group Exami	Art Unit _ ner Name								
Attorne	ey Docket	No. <u>004</u>	1906.P0	03					
METH	IOD OF P								; :
1.	[]	The Cor	mmissio er paym	oner is here ents to:	eby authorized to	charge indic	ated	fees and c	redit
		Deposit Deposit	Accou	nt Number nt Name	02-2666				
**********	[X]	Charge	Any Ad	Iditional Fe	e Required Under	37 CFR 1.16	and	1.17	
2.	X	Paymer							
		<u>X</u>		Order					
			Other						
FEE (CALCULA	ATION							
1.	BASIC F								
	Entity Fee	Small E Fee	ntity Fee						
Fee Code		Code	(\$)	Fee Desc	cription				Fee Paid
101	710	201	355 160	Utility ap	pplication filing fee application filing fe	! •			<u>710.00</u>
106 107	320 490	206 207	245	Plant fili	ng fee				
108	710	208	355	Reissue	filing fee nal application fili	na foo			
114	150	214	75	Provision	nai application illi	_			
							SUBT		\$ 710.00
2.	EXTRA (CLAIM F	EES		Extra Claims			Fee from below	Fee Paid
Total	Claims	49	40	- 20** = - 3** =			X	18.00 80.00	= \$522.00 = \$720.00
Inde	pendent (ple Depe	ciaims _	12	- 3 - =	: 9		^	00.00	= \frac{\psi 120.00}{20.00}
**Or	number i	orevious	ly paid	l, if greate	r; For Reissues,	see below.			
Large	<u>Entity</u>	Small E	entity	· -					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Desc	ription				
103	18	203	(\$) 9	Claims in	excess of 20	F ?			
102 104	80 270	202 204	40 135		ent claims in exce lependent claim, if				
109	80	209	40	**Reissue	independent clair	ns over orig	jinal į	patent	4
110	18	210	9	**Reissue	claims in excess	of 20 and o	ver o	riginal pate	ent
							SU	BTOTAL (2	2) \$ <u>1242.00</u>
FEE	CALCUL	ATION (contin	ued)					
3.	ADDITIO	ONAL FI	EES						
	e Entity		II Entity						
Fee Code	Fee (\$)	Fee Code		ee [\$) <u>Fe</u> e	e Description				Fee Paid
105	130	205	- '		rcharge - late filing	g fee or oath	1		

				O I I I I I I I I I I I I I I I I I I I
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
400	130	139	130	Non-English specification
139		147	2,520	For filing a request for reexamination
147	2,520	112	920*	Requesting publication of SIR prior to
112	920*	112	520	Examiner action
113	1.840*	113	1.840*	Requesting publication of SIR after
110	1,040	110	1,0-10	Examiner action
115	110	215	55	Extension for response within first month
116	390	216	195	Extension for response within second month
117	890	217	445	Extension for response within third month
118	1,390	218	695	Extension for response within fourth month
128	1.890	228	945	Extension for response within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1.510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive unavoidably abandoned
				application
141	1,240	241	620	Petition to revive unintentionally
	.,			abandoned application
142	1.240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per
•				property (times number of properties) \$40.00
146	710	246	355	For filing a submission after final rejection
				(see 37 ČFR 1.129(a))
149	710	249	355	For each additional invention to be examined
				(see 37 CFR 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design
				application
Othor	fee (speci	:6.A		
Other	iee (speci	יעיי,		
Other	fee (spec	ify)		
				SUBTOTAL (3) \$ 40.00
*Redu	ced by Bas	ic Filing F	ee Paid	_
SUB	MITTED E	<u>3Y:</u>	_/	
T	al an Duiss	had Nar	o Dani	eHM. DeVos
lybe	d or Print	tea ivami	االقراب.	
Sign	ature:	4		Date: Date:
Por	Number:	37 813	₹	Telephone Number: (408) 720-8300
iveg.	Hulling!.	01,010		



EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label Date of Deposit: I hereby certify that I am cau be deposited with the United "Express Mail Post Office to the date indicated above and has been addressed to the for Patents, Washington, D.	sing this paper or fee to States Postal Service Addressee* service on I that this paper or fee Assistant Commissioner C. 2023
(Typed or printed name of po	erson mailing paper or lee)
(Signature of person mailing	paper or fee)
(Date signed)	
Serial/Patent No.: **** Client: Redback Networks, Inc. Title: TUNNELING ETHERNET	Filing/Issue Date:
BSTZ File No.: 004906.P003	Atty/Secty Initials: DMD/dkr Docket Due Date: ****
Date Mailed: 10/27/2000 The following has been received in the U.S. Part following has been received in the U.	Docket Due Date: **** atent & Trademark Office on the date stamped hereon: Express Mail No.: EL672753151US Check N38566 Month(s) Extension of Time Month(s) Extension of Time Month(s) Extension of Time Month(s) Extension of Time Petition for Extension of Time Petition for Extension of Time Postcard Power of Attorney (pgs.) Preliminary Amendment (pgs.) Reply Brief (pgs.) Response to Notice of Missing Parts Small Entity Declaration for Indep. Inventor/Small Business Transmittal Leave, in duplicate Utility Fee Transmittal, in duplicate
Other:	

UNITED STATES PATENT APPLICATION

FOR

TUNNELING ETHERNET

INVENTORS SUHAIL NANJI

PREPARED BY:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD SEVENTH FLOOR LOS ANGELES, CA 90025-1026

(408) 720-8598

TUNNELING ETHERNET

BACKGROUND OF THE INVENTION

Field of the Invention

This invention relates to the area of communication networks. More specifically, the present invention relates to preserving Ethernet frames across a network.

Description of the Related Art

Ethernet headers contain useful data. For example, the source address can be used for network analysis, tracing, billing and accounting purposes. Ethernet frames can be tunneled with the Bridge Encapsulation Protocol (BCP) and the Generic Routing Protocol (GRE).

Figure 1 (Prior Art) is a diagram of transmitting an Ethernet frame with Bridge Control Protocol (BCP) encapsulation. The subscriber's machine 101 (e.g. personal computer (PC)) runs the Internet Protocol (IP) and Ethernet. Data packets are prepared for transmittal to the Internet by prepending IP headers. The subscriber's machine 101 also prepends Ethernet headers onto the data packets to be transmitted. The encapsulation of the data is represented by the protocol stack 103. An encapsulated data packet is transmitted to the customer premise equipment (CPE) 105, such as a cable modem or digital subscriber line (DSL) modem. To preserve the Ethernet frame with BCP, the CPE 105 must run BCP and the Point to Point Protocol (PPP). The CPE 105 encapsulates the data from the subscriber machine 103 with BCP and then PPP. The CPE further prepares the data for transmission by encapsulating the data in delivery protocols (such as 1483 or 1490 bridged circuit over Asynchronous Transmission Mode (ATM), Frame Relay, etc) as indicated by the protocol stack 107. The protocol stack 107 is transmitted to a network element 109. The network element may support BCP and PPP to decapsulate the data packet. The network element 109 is configured as a Layer 2

Tunneling Protocol (L2TP) Access Concentrator (LAC) in order to tunnel the stack or data packet. The LAC 109 encapsulates the data packet with L2TP as indicated by the stack 111 for transmission to an L2TP Network Server (LNS) 113. The LNS 113 must support PPP and BCP to decapsulate the data packet.

Figure 2 (Prior Art) is a diagram of transmitting traffic from multiple subscribers who use different protocols with multiple Generic Routing Encapsulation (GRE) tunnels. Two individual subscribers 201, 202 connect to two separate CPEs 213, 206. The traffic transmitted from the subscribers 201, 202 to the CPEs 213, 206 are IP encapsulated with Ethernet as indicated by the protocol stacks 205, 204. The CPEs 213, 206 encapsulate the subscriber traffic in a delivery protocol, as indicated by the protocol stacks 209 and 210, and transmit the traffic to a network element 217. Another subscriber 203 transmits traffic to a CPE 215 encapsulated with Point to Point protocol as indicated by the protocol stack 207 showing IP over PPP. The CPE 215 encapsulates the subscriber traffic in a delivery protocol as indicated by the protocol stack 211 and transmits the traffic to a network element 217. The network element 217 uses GRE to tunnel the traffic from subscribers 201, 202, and 203 to another network element 227. The network element 217 establishes 2 different tunnels 223, 225 with the network element 227. One tunnel 223 carries both subscribers' Ethernet traffic. The Ethernet traffic is indicated by the protocol stack 221. The other tunnel 225 carries the PPP subscriber traffic indicated by the protocol stack 219. Both GRE tunnels must be transmitted by IP media also indicated by stacks 221 and 219. The network element 227 terminates the tunnels 223, 225 and routes the traffic to the Internet 229.

Although BCP can be employed to transmit an Ethernet frame, it is an inefficient method. Both PPP and BCP must be supported on the network elements processing BCP data packets. In addition to the network elements, the CPE must also support BCP which is atypical. Moreover, BCP support is in addition to whatever tunneling protocol is to be used. Utilizing GRE to transmit Ethernet frames presents a more efficient and simple

method than BCP, but GRE does not have the robust signaling integral to L2TP.

Customers requesting certain information passed as attribute values pairs (AVPs) in

L2TP lose the option of receiving such information with GRE.

SUMMARY OF THE INVENTION

The invention provides an apparatus and method for transmitting Ethernet data.

According to one aspect of the invention, a method provides for transmitting Ethernet frames over a tunneling protocol.

In one embodiment of the invention, an Ethernet frame is received and transmitted over a non-homogenous tunnel, the tunnel having a plurality of sessions. Requested values are also transmitted over the non-homogenous tunnel.

In an alternative embodiment of the invention, Ethernet data included in an Ethernet frame is transmitted over a non-homogenous L2TP tunnel to a service provider. Upon receiving the Ethernet data, the service provider analyzes the Ethernet data.

In another embodiment of the invention, an Ethernet frame is encapsulated with L2TP, transmitted over a network, and decapsulated. The Ethernet frame is transmitted on one of a plurality of sessions in a non-homogenous tunnel running over the network. Attribute value pairs (AVPs) are transmitted over the session in relation to the encapsulated Ethernet frame.

These and other aspects of the present invention will be better described with reference to the Detailed Description of the Preferred Embodiment and the accompanying Figures.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention may best be understood by referring to the following description and accompanying drawings that are used to illustrate embodiments of the invention. In the drawings:

The invention may best be understood by referring to the following description and accompanying drawings that are used to illustrate embodiments of the invention. In the drawings:

Figure 1 (Prior Art) is a diagram of transmitting an Ethernet frame with Bridge Control Protocol (BCP) encapsulation.

Figure 2 (Prior Art) is a diagram of transmitting traffic from multiple subscribers who use different protocols with multiple Generic Routing Encapsulation (GRE) tunnels.

Figure 3 is a diagram of transmitting traffic from multiple subscribers who use different protocols over a single Ethernet capable Layer 2 Tunneling Protocol (L2TP) tunnel according to one embodiment of the invention.

Figure 4A is a diagram illustrating establishing an Ethernet capable tunnel between a LAC and LNS according to one embodiment of the invention.

Figure 4B is a diagram illustrating establishment of an Ethernet over L2TP session between a LAC and LNS according to one embodiment of the invention.

Figure 5 is a flowchart of a LAC processing traffic according to one embodiment of the invention.

Figure 6 is a flowchart for processing traffic received from a session in an Ethernet capable L2TP tunnel according to one embodiment of the invention.

DETAILED DESCRIPTION OF THE DRAWINGS

The present invention provides for a method and apparatus for transmitting Ethernet frames across a network. In the following description, numerous specific details are set forth to provide a thorough understanding of the invention. However, it is understood that the invention may be practiced without these specific details. In other instances, well-known protocols, structures and techniques have not been shown in detail in order not to obscure the invention.

Figure 3 is a diagram of transmitting traffic from multiple subscribers who use different protocols over a single Ethernet capable Layer 2 Tunneling Protocol (L2TP) tunnel according to one embodiment of the invention. Two individual subscribers 301, 302 connect to individual CPEs 305 and 306 with Ethernet. The subscribers transmit IP packets encapsulated with Ethernet as indicated by the protocol stacks 303, 304 to the CPEs 305 and 306. The CPEs 305 and 306 encapsulate the Ethernet traffic with a delivery protocol as indicated by the protocol stacks 307 and 308 and transmit the two stacks to a network element 317. Another subscriber 309 transmits IP packets with PPP as indicated by the protocol stack 311. The subscriber traffic is transmitted to a CPE 313 which encapsulates the traffic with a delivery protocol as indicated by the protocol stack 315. This encapsulated traffic is transmitted to the network element 317. The network element 317 establishes a single L2TP tunnel 318 to a network element 329. The traffic from all three subscribers 301, 309, and 302 are transmitted to the network element 329 over the same non-homogenous tunnel 318, but in separate sessions. The traffic from subscriber 301 represented by the stack 321 is transmitted by session 325. The traffic from subscriber 309 represented by the stack 323 is transmitted by session 327, while the traffic from subscriber 302 represented by the stack 312 is transmitted by session 310. The network element 329 transmits traffic to the Internet 331.

As illustrated by Figure 3 and Figure 2, transmitting Ethernet with L2TP has multiple advantages over transmitting Ethernet with GRE. GRE must establish homogenous tunnels; a tunnel is established for the subscriber connecting with PPP and a separate tunnel is established for the subscribers using Ethernet. Administration and management of multiple tunnels requires more resources and processing for a network element than maintaining a single tunnel. A single non-homogenous tunnel can be established with the extension to L2TP described herein. Thus, transmitting Ethernet with this extension to L2TP instead of GRE reduces the resource consumption of the network element terminating the tunnel. The network element acting as an L2TP tunnel

endpoint uses less resources and can process more traffic than the same network element acting as a GRE tunnel endpoint in situations like that shown in Figure 3. Exemplary techniques for implementing non-homogenous L2TP tunnels that can carry Ethernet are described in more detail later herein..

Figures 4A-4B are diagrams illustrating establishment of an Ethernet capable tunnel and an Ethernet over L2TP session between a LAC and an LNS. Figure 4A is a diagram illustrating establishing an Ethernet capable tunnel between a LAC and LNS according to one embodiment of the invention. The LAC 401 creates and transmits an L2TP Start-Control Connection Request (SCCRQ) or tunnel request control message 405 to the LNS 403 for tunnel setup (it is understood that a network element can act as both a LAC and an LNS). The tunnel request control message 405 indicates to the LNS 403 that the LAC might transmit L2TP encapsulated Ethernet frames. The LNS 403 transmits Start-Control-Reply (SCCRP) or a tunnel reply control message 407 to the LAC 401 confirming its ability to process an Ethernet frame. If a tunnel is established between the LAC 401 and the LNS 403, then a session must be established to actually transmit data.

Figure 4B is a diagram illustrating establishment of an Ethernet over L2TP session between a LAC and LNS according to one embodiment of the invention. The LAC 401 transmits Incoming Call Request (ICRQ) or a session request control message 409 to the LNS 403. The request control message 409 indicates to the LNS that Ethernet frames are being transmitted in the L2TP session and indicates the Ethernet Media Access Control (MAC) Address of the LAC. When the LNS transmits traffic to the subscriber, the LNS uses this MAC address as the source address of the traffic being transmitted to the subscriber. After a session is established between the LAC and LNS, the LAC begins to transmit Ethernet over L2TP data packets to the LNS 403.

Figure 5 is a flowchart of a LAC processing traffic according to one embodiment of the invention. At block 500 a LAC listens for traffic on a bridged circuit or Ethernet port. At block 501, the LAC receives traffic on the bridged circuit or Ethernet port. The

LAC determines if the received traffic is to be tunneled at block 503. The LAC then queries a database such as RADIUS for tunnel parameters. If a failing event occurs which prevents the LAC from receiving tunnel parameters, then at block 507 the bridged circuit or Ethernet port is paused for a configured amount of time before returning control to block 500. A failing even can include a communication failure between the LAC and the database, the database not finding tunnel parameters, etc. If the LAC does attain tunnel parameters, then at block 509 the LAC attempts to establish a tunnel with an LNS. If the tunnel setup fails, then control goes to block 507. If the tunnel is established, then the LAC requests a session over the tunnel with the LNS and indicates Ethernet and the LAC's MAC address at block 511. If the session setup fails, then control flows to block 507. If session setup is successful, then the LAC begins to transmit the data traffic to the LNS at block 513. Although the described embodiment pauses after all three failing scenarios, alternative embodiments can pause for select failing events or not pause.

Figure 6 is a flowchart for an LNS processing traffic received over a session in an Ethernet capable L2TP tunnel from a LAC according to one embodiment of the invention. At block 601, an LNS receives an Incoming-Call-Request (ICRQ) or session control message from a LAC to establish an L2TP session. An AVP in the ICRQ control message indicates the session type to be transmitted from the LAC. At block 603, the LNS determines if the session request control message indicates Ethernet. If the control message does indicate Ethernet, then a virtual circuit structure is created indicating Ethernet encapsulation at block 607. Otherwise, the LNS creates a virtual circuit structure at block 605 indicating a different encapsulation. At block 608 the LNS receives an L2TP data packet over the session. When the LNS receives the L2TP packet from the session, a decapsulation routine removes the L2TP header from the packet to get a payload at block 609. The LNS then associates the decapsulated payload with the virtual circuit structure at block 611. The LNS processes the payload as indicated by the virtual circuit structure at block 613. If the virtual circuit indicates Ethernet

encapsulation, the virtual circuit structure creates the impression to the system of the LNS that the payload is a data packet received on an Ethernet port. If the virtual circuit structure indicates a different encapsulation, then it creates the impression to the LNS system that the payload was received on a circuit configured for the different encapsulation.

The capability to transmit Ethernet frames over L2TP tunnels increases functionality of a network element supporting this extension to L2TP. This extension of L2TP covers the two network layer protocols most likely employed by subscribers. The wholesale network provider can employ L2TP to provide ISP customers individual data streams for each subscriber accessing the network through the wholesale network provider's network element. L2TP also enables a network provider with robust signaling functionality. With L2TP, the network provider can satisfy a customer request for certain information to be passed as AVPs. The flexibility of L2TP allows the network provider to add and remove AVPs in response to the changing needs of customers. Other protocols such as GRE do not support this signaling functionality. Moreover, additional protocols are unnecessary as with BCP.

In addition, GRE is limited to IP media which is more complex and has more overhead than other media such as Frame Relay. In contrast, L2TP can be carried over any media.

The techniques shown in the figures can be implemented using code and data stored and executed on computers. Such computers store and communicate (internally and with other computers over a network) code and data using machine-readable media, such as magnetic disks; optical disks; random access memory; read only memory; flash memory devices; electrical, optical, acoustical or other form of propagated signals (e.g., carrier waves, infrared signals, digital signals, etc.); etc. Of course, one or more parts of the invention may be implemented using any combination of software, firmware, and/or hardware.

While the invention has been described in terms of several embodiments, those skilled in the art will recognize that the invention is not limited to the embodiments described. In an alternative embodiment, the data stored in an Ethernet header can be transmitted instead of the Ethernet frame. The Ethernet payload could be decapsulated from the Ethernet frame. The data in the Ethernet frame could be stored as values in the L2TP header. Hence, the Ethernet information could be transmitted with L2TP instead of encapsulating the Ethernet frame with L2TP. In another embodiment of the invention, Ethernet could be transmitted over L2TP and PPP. A virtual PPP client would run on the LAC. The Ethernet frame could be encapsulated with the virtual PPP client, and the Ethernet frame transmitted within PPP encapsulation without extending L2TP.

The method and apparatus of the invention can be practiced with modification and alteration within the spirit and scope of the appended claims. The description is thus to be regarded as illustrative instead of limiting on the invention

We claim:

- 1 1. A machine readable medium that provides instructions, which when executed by a
- 2 set of processors, cause said set of processors to perform operations comprising:
- 3 receiving an Ethernet frame; and
- transmitting the Ethernet frame over a non-homogenous tunnel, the tunnel
- 5 distinguishing subscriber traffic.
- 1 2. The machine readable medium of claim 1 further comprising transmitting
- 2 requested values over the non-homogenous tunnel.
- 1 3. The machine readable medium of claim 1 wherein the Ethernet frame is
- 2 transmitted on one of the plurality of sessions.
- 4. A machine readable medium that provides instructions, which when executed by a
- 2 set of processors, cause said set of processors to perform operations comprising:
- transmitting a set of Ethernet data included in an Ethernet frame with Layer 2
- 4 Tunneling Protocol (L2TP); and
- 5 transmitting the set of Ethernet data to a service provider.
- 1 5. The machine readable medium of claim 4 further comprising the service provider
- 2 analyzing the set of Ethernet data.
- 1 6. The machine readable medium of claim 4 wherein the set of Ethernet data is
- 2 transmitted over a non-homogenous L2TP tunnel.
- 1 7. The machine readable medium of claim 4 wherein the transmitting the set of
- 2 Ethernet data comprises encapsulating the Ethernet frame with L2TP.

- 1 8. The machine readable medium of claim 7 wherein the encapsulating the Ethernet
- 2 frame comprises:
- establishing an L2TP tunnel capable of carrying the Ethernet frame;
- establishing an L2TP session for carrying the Ethernet frame; and
- 5 prepending L2TP headers onto the Ethernet frame.
- 1 9. A machine readable medium that provides instructions, which when executed by a
- 2 set of processors, cause said set of processors to perform operations comprising:
- a encapsulating an Ethernet frame in Layer 2 Tunneling Protocol (L2TP); and
- transmitting the L2TP encapsulated Ethernet frame over a network; and
- 5 decapsulating the Ethernet frame from L2TP.
- 1 10. The machine readable medium of claim 9 wherein the L2TP encapsulated
- 2 Ethernet frame is transmitted on one of a plurality of sessions of a non-homogenous
- 3 tunnel.
- 1 11. The machine readable medium of claim 9 wherein transmitting the Ethernet frame
- 2 further comprises transmitting attribute value pairs (AVPs) in relation to the Ethernet
- 3 frame.
- 1 12. The machine readable medium of claim 9 wherein transmitting the frame
- 2 comprises:
- 3 establishing an Ethernet capable L2TP tunnel; and
- establishing an L2TP session to carry the frame; and
- 5 transmitting a MAC address.

- 1 13. The machine readable medium of claim 9 further comprising performing session
- 2 fail retry.
- 1 14. A machine readable medium that provides instructions, which when executed by a
- 2 set of processors, cause said set of processors to perform operations comprising:
- establishing a Layer 2 Tunneling Protocol (L2TP) tunnel capable of carrying an
- 4 Ethernet frame;
- 5 establishing an L2TP session to carry the Ethernet frame;
- transmitting the Ethernet frame in L2TP encapsulation over the L2TP session; and
- 7 decapsulating the frame.
- 1 15. The machine readable medium of claim 14 wherein the L2TP tunnel is non-
- 2 homogenous.
- 1 16. The machine readable medium of claim 14 wherein establishing the L2TP session
- 2 comprises performing session fail retry.
- 1 17. The machine readable medium of claim 14 wherein establishing the L2TP tunnel
- 2 capable of carrying the Ethernet frame comprises transmitting an L2TP control message
- 3 indicating a tunnel capable of carrying the Ethernet frame.
- 1 18. The machine readable medium of claim 14 further comprising performing session
- 2 fail retry.
- 1 19. A machine readable medium that provides instructions, which when executed by a
- 2 set of processors, cause said set of processors to perform operations comprising:

23.

homogenous.

1.

2

3	transmitting a first tunnel control message for Layer 2 Tunneling Protocol (L2TP)
4	tunnel setup having
5	an attribute value pair (AVP) indicating Ethernet frame capability,
6	receiving a second tunnel control message for L2TP tunnel setup having
7	an AVP indicating Ethernet frame capability;
8	transmitting a session control message having an AVP indicating an L2TP
9	Ethernet session and an AVP indicating an Ethernet Media Access Control
10	(MAC) address; and
11	transmitting an Ethernet frame with the L2TP Ethernet session.
1	20. The machine readable medium of claim 19 further comprising performing session
2	fail retry before transmitting the Ethernet frame.
1	21. The machine readable medium of claim 19 wherein transmitting the first and
2	second tunnel control messages comprises manipulating the bits of the first and second
3	tunnel control messages.
1	22. A machine readable medium that provides instructions, which when executed by a
2	set of processors, cause said set of processors to perform operations comprising:
3	establishing an Ethernet capable Layer 2 Tunneling Protocol (L2TP) tunnel;
4	accepting an L2TP session;
5	receiving an L2TP encapsulated Ethernet frame over the session;
6	decapsulating the Ethernet frame; and
7	associating the Ethernet frame to a virtual circuit structure.

The machine readable medium of claim 22 wherein the tunnel is non-

5

6

1	24.	The machine readable medium of claim 22 wherein establishing the Ethernet
2	capab	le L2TP tunnel comprises:
3		receiving a first tunnel control message indicating Ethernet capability; and
4		transmitting a second tunnel control message indicating Ethernet frame capability.
1	25.	The machine readable medium of claim 22 wherein accepting the L2TP session
2	comp	rises:
3		receiving a session control message indicating session type and an Ethernet MAC
4		address; and
5		creating a virtual circuit structure in response to the control message.
1	26.	The machine readable medium of claim 22 further comprising extracting a set of
2	data f	From the Ethernet frame.
1	27.	The machine readable medium of claim 22 wherein the associating the Ethernet
2	frame	e to the virtual circuit structure comprises processing the Ethernet frame as indicated
3	by th	e virtual circuit structure.
1	28.	A machine readable medium that provides instructions, which when executed by a
2	set of	f processors, cause said set of processors to perform operations comprising:
3		receiving a first Layer 2 Tunneling Protocol tunnel control message having an
4		attribute value pair (AVP) indicating Ethernet capability;

Ethernet capability;

transmitting a second L2TP tunnel control message having an AVP indicating

7		receiving a session control message having an AVP indicating a session type and
8		an Ethernet MAC address;
9		creating a virtual circuit structure for the session type in response to the session
10		control message; and
11		processing an L2TP packet having a payload with the virtual circuit structure.
1	29.	The machine readable medium of claim 28 wherein processing the L2TP packet
2	compi	rises:
3		decapsulating the payload from the L2TP packet; and
4		processing the payload as indicated by the virtual circuit structure.
1	30.	The machine readable medium of claim 28 wherein the first and second control
2	messa	ges include values requested by a customer.
1	31.	An apparatus comprising:
2		a Layer 2 Tunneling Protocol (L2TP) Access Concentrator (LAC) to transmit an
3		Ethernet frame over an L2TP tunnel; and
4		an Layer 2 Tunneling Protocol Network Server (LNS) to receive the Ethernet
5		frame from the L2TP tunnel originating at the LAC.
1	32.	The machine readable medium of claim 31 wherein the L2TP tunnel is non-
2	homo	ogenous.
1	33.	The apparatus of claim 31 wherein the LAC to transmit the Ethernet frame
2	comp	prises:
3		establishing an L2TP tunnel capable of carrying an Ethernet over L2TP session;
4		and

- 5 establishing an Ethernet over L2TP session to the LNS.
- 1 34. The apparatus of claim 33 wherein establishing an L2TP tunnel capable of
- 2 carrying an Ethernet over L2TP session comprises:
- 3 the LAC transmitting a first tunnel control message to the LNS indicating
- 4 Ethernet frame capability; and
- 5 the LNS transmitting a second tunnel control message to the LAC indicating
- 6 Ethernet frame capability.
- 1 35. The apparatus of claim 33 wherein the establishing the Ethernet over L2TP
- 2 session to the LNS comprises the LAC transmitting to the LNS a session control message
- 3 indicating Ethernet encapsulation and an Ethernet Media Access Control (MAC) address
- 4 for the LAC.
- 1 36. A Layer 2 Tunneling Protocol (L2TP) Access Concentrator (LAC) comprising:
- an operating system to establish an Ethernet capable L2TP tunnel with a peer,
- 3 to perform session fail retry;
- 4 to establish an Ethernet over L2TP session in the tunnel,
- to encapsulate an Ethernet frame with L2TP; and
- 6 a circuit to transmit the session.
- 1 37. The LAC of claim 36 wherein to establish the Ethernet over L2TP session
- 2 comprises transmitting signals, the signals including requested values.
- 1 38. The LAC of claim 36 wherein the tunnel is non-homogenous.

- 1 39. The LAC of claim 36 wherein to establish the Ethernet capable L2TP tunnel
- 2 comprises:
- transmitting a first tunnel control message indicating Ethernet frame capability;
- 4 and
- 5 receiving a second tunnel control message indicating Ethernet frame capability.
- 1 40. The LAC of claim 36 wherein to establish the Ethernet over L2TP session in the
- 2 tunnel comprises transmitting a session control message indicating Ethernet
- 3 encapsulation and an Ethernet MAC address for the LAC.
- 1 41. A Layer 2 Tunneling Protocol (L2TP) Network Server (LNS) comprising:
- an operating system to establish an Ethernet capable L2TP tunnel;
- a circuit to receive an Ethernet over L2TP packet having an Ethernet frame as a
- payload; and
- 5 a logic to process the packet.
- 1 42. The LNS of claim 41 wherein the tunnel is non-homogenous.
- 1 43. The LNS of claim 41 wherein the operating system to establish the Ethernet
- 2 capable L2TP tunnel comprises:
- 3 receiving a first tunnel control message indicating Ethernet capability; and
- 4 transmitting a second tunnel control message indicating Ethernet capability.
- 1 44. The LNS of claim 41 wherein the logic to process the packet comprises:
- 2 decapsulating the payload from L2TP encapsulation;
- associating the payload with a virtual circuit structure; and
- 4 processing the payload as indicated by the virtual circuit structure.

1	45.	A computer implemented method comprising:
2		receiving an Ethernet frame; and
3		transmitting the Ethernet frame over a non-homogenous tunnel, the tunnel having
4		a plurality of sessions.
1	46.	The method of claim 45 further comprising transmitting requested values over the
2	non-h	omogenous tunnel.
1	47.	The method of claim 45 wherein the Ethernet frame is transmitted on one of the
2	plural	ity of sessions.
1	48.	A computer implemented method comprising:
2		transmitting a first tunnel control message for Layer 2 Tunneling Protocol (L2TP)
3		tunnel setup having
4		an attribute value pair (AVP) indicating Ethernet frame capability,
5		receiving a second tunnel control message for L2TP tunnel setup having
6		an AVP indicating Ethernet frame capability;
7		transmitting a session control message having an AVP indicating an L2TP
8		Ethernet session and an Ethernet Media Access Control (MAC) address;
9		and
10		transmitting an Ethernet frame with the L2TP Ethernet session.
1	49.	The method of claim 48 further comprising performing AAA retry before
2	transı	mitting the Ethernet frame.

- 1 50. The method of claim 48 wherein transmitting the first and second tunnel control
- 2 messages comprises manipulating the bits of the first and second tunnel control
- 3 messages.

ABSTRACT OF THE DISCLOSURE

A machine readable medium for tunneling Ethernet is described. A machine readable medium comprises receiving an Ethernet frame and transmitting the Ethernet frame over a non-homogenous tunnel, the tunnel distinguishing subscriber traffic.

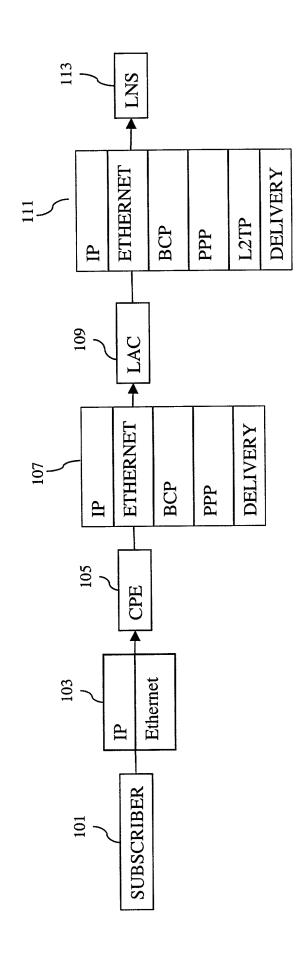
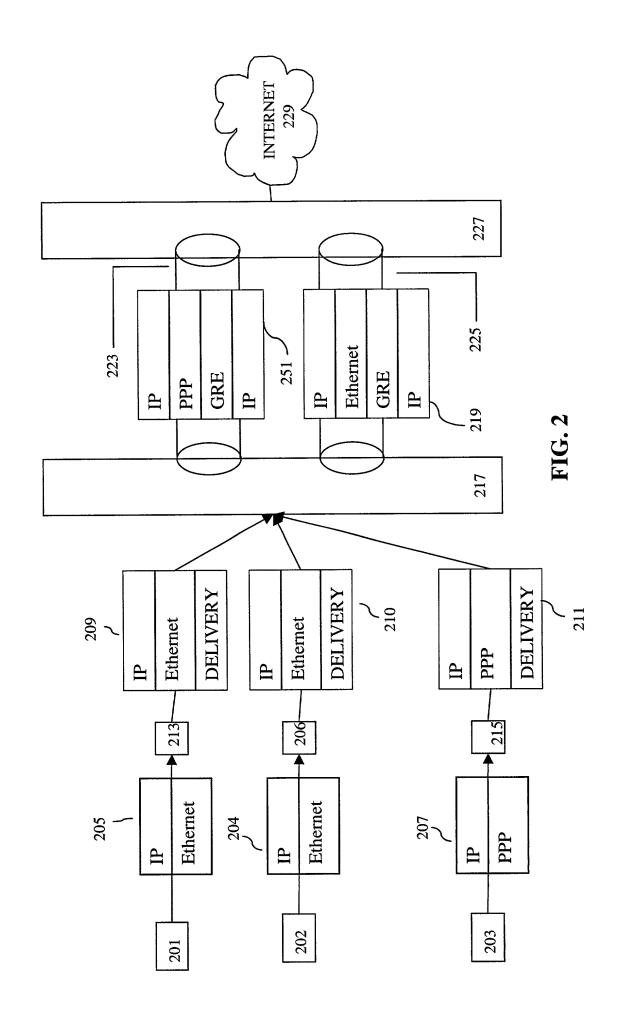


FIG. 1



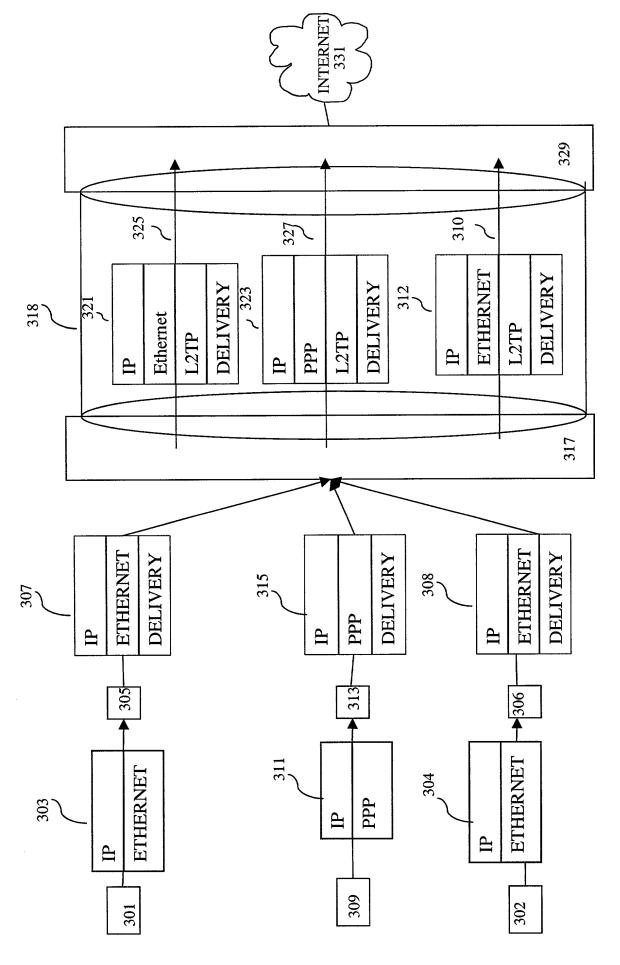
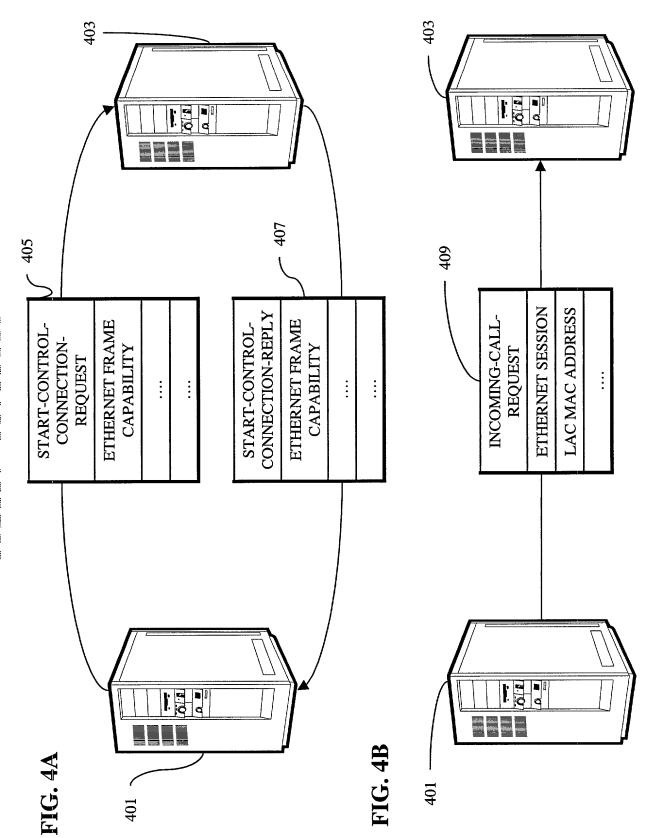
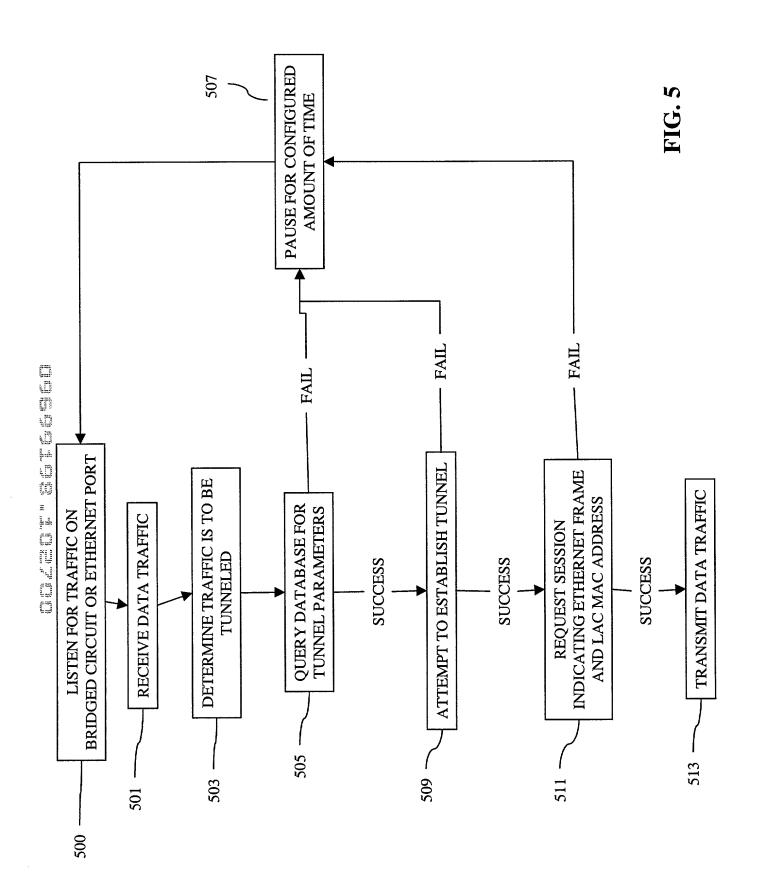


FIG. 3





Attorney's Docket No.: 004906.P003

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled							
	TUNNELING ETHERNET						
the specification	of which						
<u>X</u>	is attached hereto. was filed on United States Apport or PCT Internation and was amended	plication Number as nal Application Number d on(if applicable)	- - -				
I hereby state the specification, in	nat I have reviewed and un cluding the claim(s), as am	nderstand the contents of the above-identification and the contents of the above any amendment referred to above	ed re.				
I acknowledge to defined in Title	he duty to disclose all info 37, Code of Federal Regul	rmation known to me to be material to pate lations, Section 1.56.	ntability	as			
foreign applicat	I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:						
Prior Foreign A	Prior Foreign Application(s) Priority Claimed						
Number	Country	Day/Month/Year Filed	Yes	No			
Number	Country	Day/Month/Year Filed	Yes	No			
Number	Country	Day/Month/Year Filed	Yes	No			
I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:							
Application N	Application Number Filing Date						

Filing Date

Application Number

application(s) listed be is not disclosed in the of Title 35, United Stat known to me to be ma Section 1.56 which be	efit under Title 35, United elow and, insofar as the s prior United States applic les Code, Section 112, I a terial to patentability as d came available between ling date of this application	ubject matter of cation in the mar acknowledge the efined in Title 37 the filing date of	each of the clai iner provided b duty to disclos Code of Fede	ms of this application y the first paragraph se all information eral Regulations,	
Application Numbe	r Filing D	Pate	Status paten pend	ited, ding, abandoned	
Application Numbe	r Filing C	Pate	Status pater pend	ited, ding, abandoned	
part of this document) substitution and revoc and Trademark Office		attorneys and p pplication and to	atent agents, w transact all bu	siness in the Patent	
Send correspondence ZAFMAN LLP, 12400 telephone calls to	ce to <u>Daniel M. DeVo</u> (Name of Attorney Wilshire Boulevard 7th <u>Daniel M. DeVos</u> (Name of Attorney or A	i Floor, Los Ang (408) ,	geles, Callioni	KOLOFF, TAYLOR &	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
Full Name of Sole/Fire	st Inventor Suhail Nanji	1		10/21/	
Inventor's Signature _	Sular	1 large	Date	10/16/00	
Residence San Jose	e, California (City, State)	Citiz	enship <u>USA</u>	(Country)	
Post Office Address	5376 Beaumont Canyon San Jose, CA	Drive			
Full Name of Sole/Fir	st Inventor				
Inventor's Signature			Date		
Residence	(City, State)	Citiz	enship	(Country)	
Full Name of Sole/Fir	rst Inventor				
		Date			
		Citizenship			

(City, State)	(Country)		
-			
Full Name of Sole/First Inventor			
Inventor's Signature	Date		
Residence(City, State)	Citizenship (Country)		
(City, State)	(Country)		
Post Office Address			
Full Name of Sole/First Inventor			
Inventor's Signature	Date		
Residence(City, State)	Citizenship		
(City, State)	(Country)		
Post Office Address			

APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roper W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Ronald C. Card, Reg. No. P44,587; Thomas M. Coester, Reg. No. 39,637; Stephen M. De Klerk, under 37 C.F.R. § 10.9(b); Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,992; Matthew C. Fagan, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. P41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Erica W. Kuo, Reg. No. 42,775; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. 42,004; Lisa A. Norris, Reg. No. P44,976; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Kimberley G. Nobles, Reg. No. 38,255; Daniel E. Ovanezian, Reg. No. 41,236; Babak Redjaian, Reg. No. 42,096; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,688; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey Sam Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 44,1313; James H. Salter, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; George G. C. Tseng, Reg. No. 41,355; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Charles T. J. Weigell, Reg. No. 42,242; Ben J

APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 <u>Duty to Disclose Information Material to Patentability</u>

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.